LAWYERS PROFESSIONAL LIABILITY ESTATE / TRUST SUPPLEMENT

Firm Name:							
Please complete this Supplement if any lawyer listed on the application shows a percentage in the Estate/Trusts area of practice.							
1. What types of Estate Planni	ng Services does the firm provide? (check all that ap	oply)	a or practice.				
☐ Wills☐ Estate Planning☐ Probate☐ Trust Administration	☐ Business Formation☐ Tax Advice (Non Shelter)☐ Tax Shelter Advice	☐ Guardianship☐ Medicaid Planning☐ Litigation					

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8.	Does any trust have or reasonably anticipate having any disputes over assets or distribution of the trust?	Yes	No
9.	Are firm members permitted to accept gifts or bequests from Estate and Trust clients?	Yes	No
10.	Does the firm conduct conflict checks in 100% of estate/probate/trust cases?	Yes	No
	If "No", please explain:		
11.	Does the firm have controls in place to monitor trust activity by third parties, trust beneficiaries, or other beneficiary parties?	Yes	No
	If "Yes", please describe:		
12.	How often are client estate/trust files:		
	a) Independently audited or reconciled? Quarterly Annually Other – Describe:		
	b) Reviewed for material changes in the estate? Quarterly Annually Other – Describe:	_	
	c) Reviewed for changes in tax code or other laws? Quarterly Annually Other – Describe:		
13.	How does the firm handle tax advice given in conjunction with estate and trust work?		
	Firm requires client to obtain independent tax representation		
	 ☐ Firm outsources or refers all tax work to outside entities ☐ Firm employs accountants/CPAs who handle or advise on all tax matters ☐ Firm's attorneys are tax attorneys who handle or advise on all tax matters ☐ The nature of the firm's trust and estate work does not require tax advice 		
	☐ Other – Describe:		